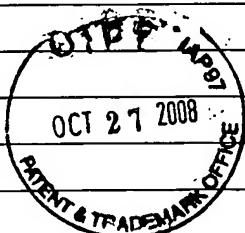


<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(Not for submission under 37 CFR 1.99)</i>	Application Number		10627921
	Filing Date		2003-07-28
	First Named Inventor		Steven M.H. Wallman
	Art Unit		3692
	Examiner Name		Meinecke Diaz, Susanna M.
	Attorney Docket Number		10392/460044



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	1	JON NEWBERRY, "Bye bye broker", ABA Journal, Mar. 1998, pg. 90, Vol. 84, Chicago, US	<input type="checkbox"/>
	2	"Frankfurt to Launch Trading System", Wall Street Journal (Europe), Oct. 7th, 1997, pg. 14, Brussels, BE	<input type="checkbox"/>
	3	"DIRECT-BUY STOCKS CUT OUT MIDDLE MAN", Palm Beach Post, Mar. 9, 1998, pg. 17, Palm Beach, US	<input type="checkbox"/>

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